

- Introduction to Data Stewardship
- Break
- FAIR data
- Data Management Planning
- Break
- Writing a Data Management Plan session
- Final questions & remarks



Data Stewardship and Research Data Management





Dr.Santosh Ilamparuthi S.Ilamparuthi@tudelft.nl

### What is Data Stewardship?



### Lots of Definitions...

The <u>Data Management Body of Knowledge (DMBOK)</u> defines Data Stewardship as:

"The most common label to describe accountability and responsibility for data and processes that ensure effective control and use of data assets. Stewardship can be formalized through job titles and descriptions, or it can be a less formal function driven by people trying to help an organization get value from its data."

#### The Data Governance Institute:

"Data Stewardship is concerned with taking care of data assets that do not belong to the stewards themselves. Data Stewards represent the concerns of others. Some may represent the needs of the entire organization. Others may be tasked with representing a smaller constituency: a business unit, department, or even a set of data themselves."



### Lots of Definitions...

The <u>Data Management Body of Knowledge (DMBOK)</u> defines Data Stewardship as:

"The most common label to describe accountability and responsibility for data and processes that ensure effective control and use of data assets. Stewardship can be formalized through job titles and descriptions, or it can be a less formal function driven by people trying to help an organization get value from its data."

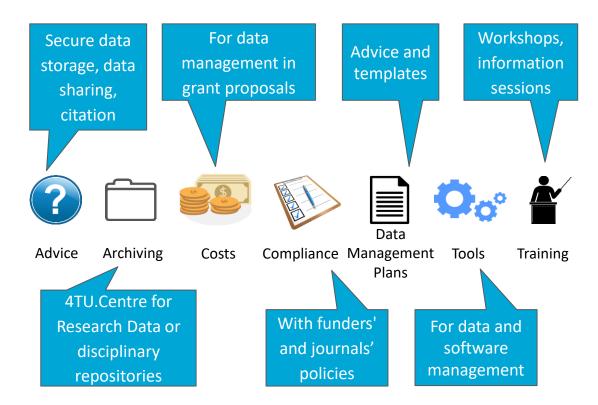
#### And many more!

#### The Data Governance Institute:

"Data Stewardship is concerned with taking care of data assets that do not belong to the stewards themselves. Data Stewards represent the concerns of others. Some may represent the needs of the entire organization. Others may be tasked with representing a smaller constituency: a business unit, department, or even a set of data themselves."



### Data Stewardship at TU Delft





https://www.tudelft.nl/en/library/current-topics/research-data-management/r/support/data-stewardship/

# What can Data Stewardship do for you – the Researcher?



## TU Delft, Architecture Building fire – 13 May 2008

https://commons.wikimedia.org/wiki/File:Brand\_bouwkunde\_-\_TU\_Delft\_-\_13\_Mei\_2008.jpg

## TU Delft, Architecture Building fire – 13 May 2008

#### 'My £1,000 Macbook Air was stolen at airport security and no one cares'

One traveller found that some airports can identify thieves but do nothing to chase them or return your goods



https://www.theguardian.com/money/2018/may/04/ my-1000-macbook-air-was-stolen-at-airport-securityand-no-one-cares

https://commons.wikimedia.org/wiki/File:Brand\_bouwkunde\_-\_TU\_Delft\_-\_13\_Mei\_2008.jpg

How much of your data would you lose if... and how would you react?

- your laptop got stolen
- your lab burnt
- you lost your USB stick
- your portable hard drive got damaged
- Your stuff on Dropbox / Googledrive disappeared

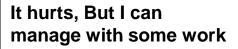
Original Slide by Marta Teperek







#### NOOOO S"\*f\*\*\*!!!



Easy peasy, I have it covered How much of your data would you lose if... and how would you react?



Original Slide by Marta Teperek

### Research Data Lifecycle



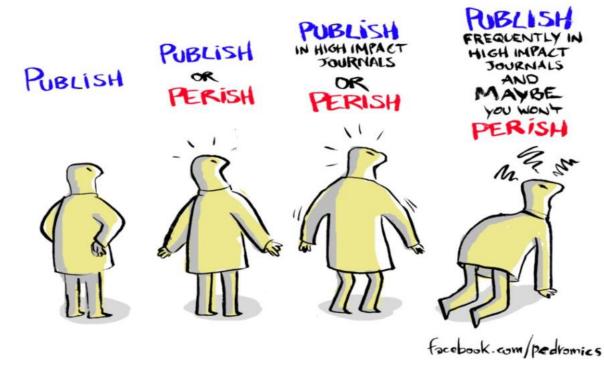
The only thing that counts in academia is publication of novel results in high impact journals

https://www.repository.cam.ac.uk/h andle/1810/276106



Based on UK data archive lifecycle and slide by Marta Teperek

### THE EVOLUTION OF RCRDEMIR





Copyright: https://velica.deviantart.com/art/Publish-or-Perish-645355248

### Fraud!!

#### Report finds massive fraud at Dutch universities

### Investigation claims dozens of social-psychology papers contain faked data.

Ewen Callaway

When colleagues called the work of Dutch psychologist Diederik Stapel too good to be true, they meant it as a compliment. But a preliminary investigative report (go.nature.com/tqmp5c) released on 31 October gives literal meaning to the phrase, detailing years of data manipulation and blatant fabrication by the prominent Tilburg University researcher.



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### Fraud

#### **Report finds massive fraud at Dutch** universities

contain faked data.

### Investigation claims dozens of Schön Scandal!

Ewen Callaway

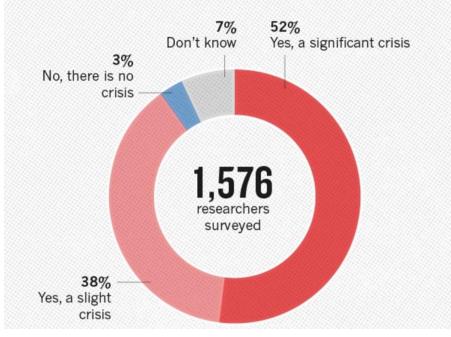
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### Reproducibility Crisis

#### IS THERE A REPRODUCIBILITY CRISIS?





https://www.nature.com/news/1-500-scientists-lift-the-lid-on-reproducibility-1.19970

### The main reasons for this crisis are



- Selective reporting
- Pressure to publish
- Insufficient supervision and training
- Supporting data / methods / code not available

Baker, M. (2016). 1,500 scientists lift the lid on reproducibility. Nature, [online] 533(7604), pp.452-454. Available at: <u>https://www.nature.com/news/1-500-scientists-lift-the-lid-on-reproducibility-1.19970</u> [Accessed 26 Apr. 2018].



Table and
information, E.Böker
"https://www.forschu
ngsdaten.info/praxis-
kompakt/english-
pages/funder-
guidelines/"

**ŤU**Delft

Funder	BMBF	DFG	EU Horizon 2020	Wellcome Trust
What to archi- ve?	research data	research da- ta, research software, supplemental material	reserach data, unpu- blished data, pro- gramming code	reserach data
Where to ar- chive?	data archi- ve/repository	free choice of repository	free choice of reposito- ry	no specific stipulati- on, but must be archi- ved
When to ar- chive?	after project end	within 12 months after project ends	as soon as possible	immediately after pu- blication
General terms and conditi- ons	data need to be longterm- archived/ preserved for the scientific community	primary data have to be stored for 10 years in their institution of origin	a data management plan and making data openly available after the project ends is mandatory for all pro- jects with start date af- ter 01/2017	mandatory for all pro- jects whose data can be shared and re- used; re-users have to cite the data sour- ce and keep to the re-use license condi- tions

Funder	BMBF	DFG	EU Horizon 2020	Wellcome Trust

reserach data

DFG and the European Commission require in their guidelines that applicants submit a "data management plan" (DMP) along with their application which describes how research data will be managed and shared during and after the research project

no specific stipulation, but must be archived

Table and information, E.Böker "https://www.forschu ngsdaten.info/praxiskompakt/englishpages/funderguidelines/"



When to ar- chive?	after project end	within 12 months after project ends	as soon as possible	immediately after pu- blication
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When	after project	within 12	as soon as possible	immediately after pu-	
to ar- chive?	end		on Safeguarding		
<b>General</b> terms and conditi-	data need to be longterm- archived/ preserved fo	Good Scientific Practice (2019)" specify that data have to be managed according to the F.A.I.R. principles.			
ons	the scientific ins	institution of origin	mandatory for all pro- jects with start date af- ter 01/2017	to cite the data sour- ce and keep to the re-use license condi-	

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on, but must be archi-

ved

Break time!



### DMPOnline

#### https://dmponline.dcc.ac.uk/



Public DMPs Funder re

equirements He

#### Welcome

DMPonline helps you to create, review, and share data management plans that meet institutional and funder requirements. It is provided by the Digital Curation Centre (DCC).

Join the growing international community that have adopted DMPonline:





203 Organisations



23,083 Plans



89 Countries

Some funders mandate the use of DMPonline, while others point to it as a useful option. You can download funder templates without logging in, but the tool provides tailored guidance and example answers from the DCC and many research organisations. Why not sign up for an account and try it out?

### **ŤU**Delft

Sign in	Create account
* Email	
* Passw	ord
Forgot pa	ssword?
🗌 Remer	nber email
Sign in	
	- or -
Sign in	with your institutional credentials

👰 Language 👻

### FAIR principles







Image https://zenodo.or g/record/369530 0#.XvoPYnJS-Uk

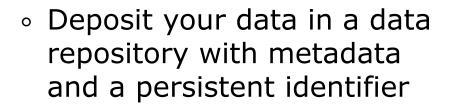
Original slides: https://doi.org/10. 5281/zenodo.347 1553





The FAIR principles are a set of guidelines to increase the re-use of your data

### Findable





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Q

### Findable



 Deposit your data in a data repository with metadata and a persistent identifier

an online archive that curates research datasets and provides long-term access

- Finalised datasets
- ~10-15 years





### Findable

UDelft

 Deposit your data in a data repository with metadata and a persistent identifier

#### **Metadata** = Information about data

- Contextual information
  - Title, author, keywords
  - When? For what purpose?
  - Size? Standards?



**ŤU**Delft

Use a README file to put your data into context:

Cornell	AUTHOR_DATASET_Readr	meTemplate.txt
This DATSETNAMEread	me.txt file was generated (	on [YYYYMMDD] by [Name]
GENERAL INFORMATION		
1. Title of Dataset		
2. Author Informati	on	
Principal Investi Name: Institut Address: Email:		https://data.research.cornell.edu/

README files template: https://cornell.app.box.com/v/ReadmeTemplate

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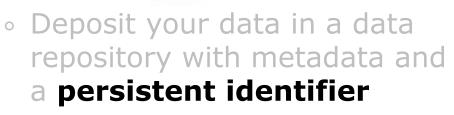
Evaluation of neodymium isotope analysis of human dental enamel as a provenance indicator using  $10^{13} \Omega$  amplifiers (TIMS)

E. Plomp <sup>a</sup> A <sup>III</sup>, I.C.C. von Holstein <sup>a</sup>, J.M. Koornneef <sup>a</sup>, R.J. Smeets <sup>a</sup>, J.A. Baart <sup>b</sup>, <sup>c</sup>, <sup>1</sup>, T. Forouzanfar <sup>b</sup>, <sup>c</sup>, G.R. Davies

#### Show more Show more

https://doi.org/10.1016/j.scijus.2019.02.001 Under a <u>Creati</u>ve Commons license







https://doi.org/10. 1016/j.scijus.2019 .02.001



ORCID

### A **persistent identifier** (PI or PID) is a long-lasting reference to a file, web page, or other object

### Accessible

- Consider what will be shared (metadata)
- Obtain participant consent and perform risk management
- Determine access control





### Interoperable

- Use open/common format/languages
- Consistent vocabulary
- Discipline common metadata standards
  - FAIRsharing.org
  - <u>Research Data Alliance metadata directory</u>
  - Digital Curation Center



### Interoperable

Standard Data Formats

"widely accepted" e.g., .xls .xlsx

Open Data Formats

Free to use e.g., .csv .tab

Original slide by Heather Andrews Mancilla



Using standard or open data formats ensures longer-term usability of data

Convert files into standard open formats for long term preservation of the data



### Data Formats

Preferred (s	sustainable) file formats that receive full preservation
Text	Plain text, XML, HTML, PDF (PDF/A-1), JSON, PDB (Protein Data Bank), XYZ (all formats should be encoded in UTF-8)
Spreadsheets	CSV (Comma-separated values), Tab-delimited values, PDF (PDF/A-1)
Images	JPEG, TIFF, PNG, SVG
Geospatial	GML (Geographical Mark-up Language), KML (Keyhole Mark- up Language), ESRI Shapefile, Geo-referenced TIFF
Numerical	NetCDF, CSV, JSON
Video	No sustainable format established
Audio	Waveform Audio File Format (WAVE)
Databases	Delimited Flat File w/DDL
Archives	ZIP, TAR, GZIP, 7Z





https://researchdata.4tu.nl/fileadmin/user\_upload/Documenten/preffered\_file\_formats.pdf

Slide adapted from Christine Staiger https://doi.org/10 .5281/zenodo.25 85691

### **J**Delft

### Metadata standard: how to say 'female'

18-day pregnant females 2 yr old female 400 yr. Old female Adult female Asexual female Castrate female Cf.female Cystocarpic female Dikaryon Dioecious female Diploid female F Famale Femail Female Female – worker Female (alate sexual) Female (calf) Hen

Female (lactating) Female (pregnant) Female (outbred Female parent Female plant Female with eggs Female worker Female, 6-8 weeks old Female, virgin Female, worker Female(gynoecious) Femele Female, pooled

Femalen Females Females only Gynoecious Healthy female Probably female (morphology)

Individual female Igb\*cc females Mare Female (worker) Monosex female **Ovigerous** female Oviparous sexual females Female (phenotype) Worker bee Female enriched Pseudohermaprhoditic female

#### Remale

Semi-engorged female Sexual oviparous female Sterile female worker Strictly female Tetraploid female Thelytoky Female (gynoecious)

Worker caste 'female' Sex female Female, other Female child Femal 3 female Female mice Female, spayed Femlale Metafemale Sterile female Normal female Sf Vitellogic replate female Worker Hexaploid female Female (f-o)

### Metadata Standard

#### **Dublin Core Metadata:**

Creator (Author):	a person, an organization, or a service
Contributor:	a person, an organization, or a service
Title:	name given to the resource
Date:	e.g., YYYY-MM-DD
Language:	use a controlled vocabulary such as <u>RFC 4646</u> , e.g. nl-NL
Format:	file format, physical medium, or dimensions of the resource
Subject:	use keywords, key phrases, or classification codes
Description:	may include an abstract, a table of contents, etc.
Identifier/Relation	: formal identification of the resource
Source:	a resource from which the described resource is derived
Rights:	statement about various property rights / license
Publisher:	include a person, an organization, or a service
Туре:	nature or genre of the resource
Coverage:	spatial (e.g., geographic coordinates) or temporal (e.g., a named period, date, or date range) topic of the resource

Original slide by Heather Andrews Mancilla

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### Reusable

- Apply a licence to specify how others can reuse your data
- Documentation





### Reusable



• Apply a licence Licences for data how others can

- your data
- Documentation

https://creativecommons.org/licenses/

https://researchdata.4tu.nl/en/use-4turesearchdata/archive-research-data/uploadyour-data-in-our-data-archive/licencing/

Public Domain Dedication (CC0)

Attribution (CC BY)

Attribution-NoDerivatives (CC BY-ND)

Attribution-NonCommercial (CC BY-NC)

Attribution-NonCommercial-ShareAlike (CC BY-NC-SA)

Attribution-NonCommercial-NoDerivatives (CC BY-NC-ND)

#### Licences for software and code

**MIT License** 

#### Apache Licence 2

GNU General Public Licence 3 (GNU GPLv3)



R

### Data Repositories & FAIR

Findable

#### **Finalised Datasets**

Snapshot

DOI

Metadata

Accessible

Long-term preservation ~10-15 years Control

Interoperable

Metadata

Vocabulary

Open formats/standards

Reusable

Licence

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## Repository for code/software

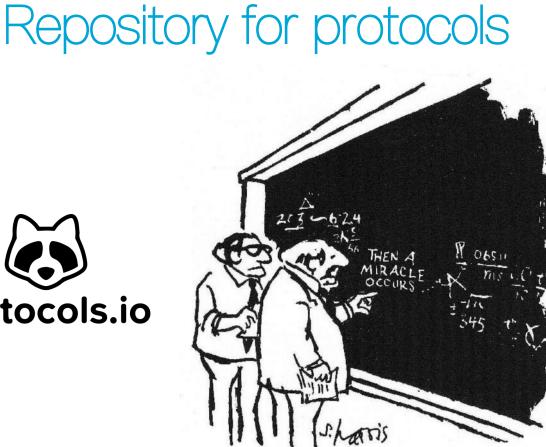




#### Software:

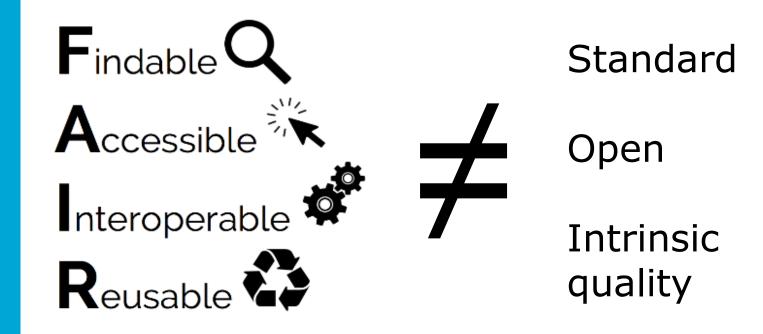
https://www.youtube.com/watch?v=pjsbBQYOOaE&t=1s https://guides.github.com/activities/citable-code/













## More info?

Go FAIR



- Top 10 FAIR Data/Software Things
- F1000 FAIR Guide
- <u>The FAIR Guiding Principles for</u> <u>scientific data management and</u> <u>stewardship (2016)</u>





## Data Management Planning







## What is a Data Management Plan?



Image by Peggy und Marco Lachmann-Anke from Pixabay



### Data Management Plan

- The Data Management Plan (DMP) is a document where you state how you will manage the research data/code during research and after the research project is finished.
- A DMP is a living document.

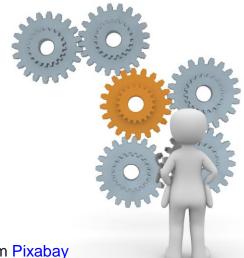




Image by <u>Peggy und Marco Lachmann-Anke</u> from <u>Pixabay</u>

## Why a Data Management Plan?







#### Awareness!

Results TU Delft Research Data Management Survey for the Faculty of Aerospace engineering Results 2017 published in <u>https://doi.org/10.18352/lq.10287</u> Results 2019 in prep.

It helps you to organize your work & It also helps us (support) to have a more concrete view of what is missing!

Respondents that work with DMPs appear to be more aware of FAIR principles and more aware (and use!) data repositories

#### Respondents working in projects WITH DMPs

Year	Use repos	Use or are aware of repos	Data loss	Aware of FAIR
2017	27%	81%	15%	50%
2019	50%	92%	8%	67%

#### Respondents working in projects WITHOUT DMPs

Year	Use repos	Use or are aware of repos	Data loss	Aware of FAIR
2017	16%	63%	13%	17%
2019	24%	66%	12%	38%



## How to prepare a DMP?



Image by Peggy und Marco Lachmann-Anke from Pixabay



### **DMP Preparation – During Research**

- Who is the owner of the data?
- Which policies apply?
- What type of data/code will be collected and/or generated?







Experiments Simulations-modelling data Software (scripts, self-standing) Interviews, recordings, surveys Human participants data Design data (maps, charts) Etc. Standard





### DMP Preparation – During Research

- Who is the owner of the data?
- Which policies apply?
- What type of data/code will be collected and/or generated?
- Where will the data be stored?
- What will be the backup strategy?
- How will you transfer data/code with collaborators?
- What software, equipment, facilities do you need?





### DMP Preparation – During Research

- Who is the owner of the data?
- Which policies apply? -
- What type of data/code will be collected and/or generated? -
- Where will the data be stored? -
- What will be the backup strategy? -
- How will you transfer data/code with collaborators? -
- What software, equipment, facilities do you need?
- How will you organize the data/code? (e.g., directory structure, file-naming conventions, version control)
- How will you document the data/code? -





### DMP Preparation – After Research

- Which data/code will be archived for the long-term? -
  - All research output necessary to validate results presented in a journal article and all research output suitable for reuse.

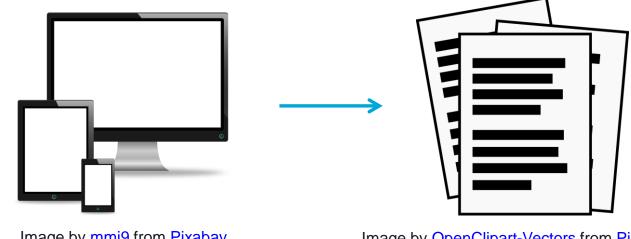




Image by mmi9 from Pixabay

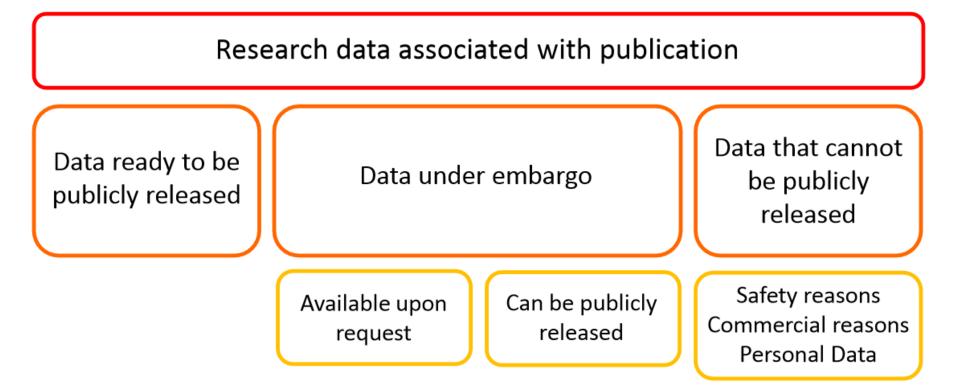
Image by OpenClipart-Vectors from Pixabay

### DMP Preparation – After Research

- Which data/code will be archived for the long-term?
  - All research output necessary to validate results presented in a journal article and all research output suitable for reuse.
- How will the data/code be archived for the long-term?

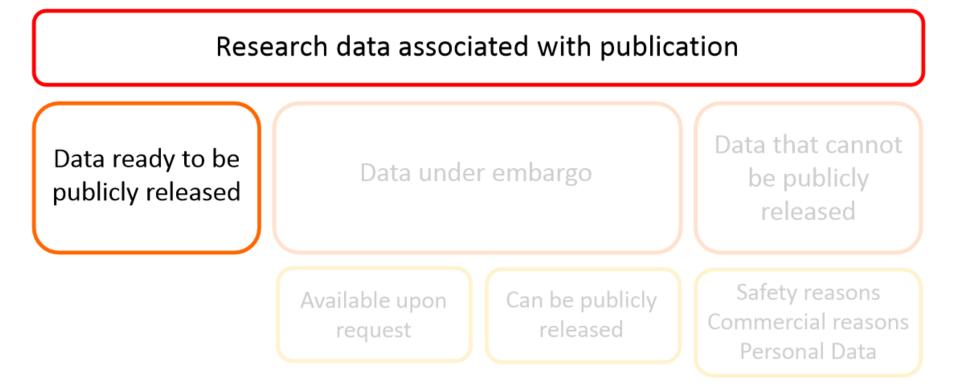






Here 'data' includes code





Here 'data' includes code





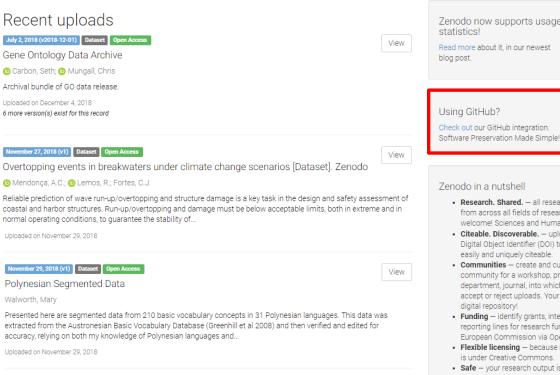
https://zenodo.org/

#### Suitable for data and code

Github integration  $\rightarrow$  Zenodo archives a snapshot of the Github repository



zenodo	Search	Q	Upload	Communities	Le.andrewsmancilla@tudelft.nl



#### November 29, 2018 (v1) Dataset Open Access

Walworth, Mary

Visualisation Techniques for Decision-making in Agriculture

Gutiérrez, Francisco; Htun, Nyi Nyi; Verbert, Katrien

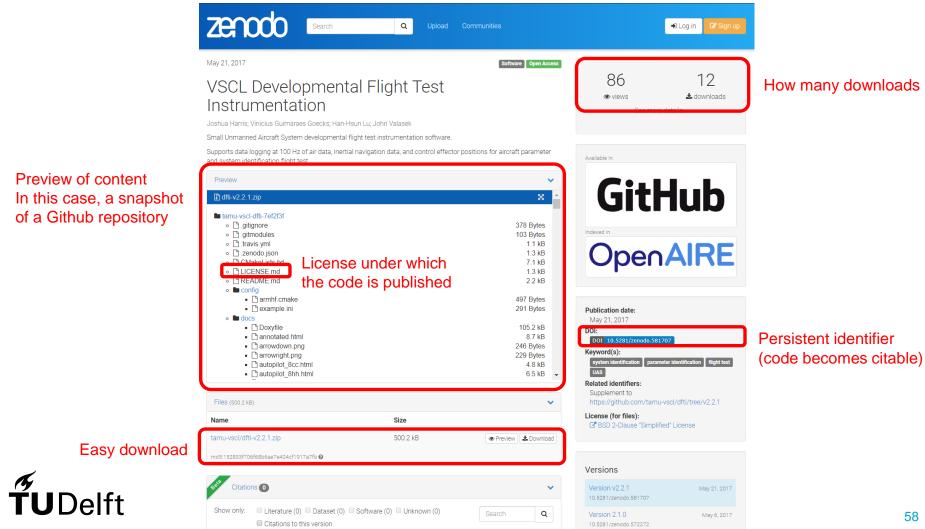
Zenodo now supports usage statistics!	
Read more about it, in our newest blog post.	

- Research, Shared, all research outputs. from across all fields of research are welcome! Sciences and Humanities, really!
- Citeable. Discoverable. uploads gets a Digital Object Identifier (DOI) to make them
- Communities create and curate your own community for a workshop, project, department, journal, into which you can accept or reject uploads. Your own complete
- · Funding identify grants, integrated in reporting lines for research funded by the European Commission via OpenAIRE.
- Flexible licensing because not everything is under Creative Commons.
- Safe your research output is stored safely for the future in the same cloud infrastructure as CERN's own LHC research data.

Read more about Zenodo and its features.

View





#### Preview of content In this case, a snapshot of a Github repository

### DMP Preparation – After Research

- Which data/code will be archived for the long-term?
  - All research output necessary to validate results presented in a journal article and all research output suitable for reuse.
- How will the data/code be archived for the long-term?
- Who will be the contact person?



## How to start writing a DMP?



Image by Peggy und Marco Lachmann-Anke from Pixabay



## Different funders/institutions Different DMP templates!



Image by Andrew Martin from Pixabay



### Horizon 2020 DMP

- Horizon 2020 (H2020) is the current and biggest EU Research and Innovation programme (2014 to 2020) focused on funding excellent science, industrial leadership and tackling societal challenges.
- Great focus on Open Science.
- Open Research Data Pilot
  - optional in H2020
  - mandatory in next framework Horizon Europe (2021-2027)



Horizon 2020

DATA

### Horizon Europe



#### **Open Science across the programme**

**Open Science** 

Better dissemination and exploitation of **R&I** results and support to active engagement of society

Mandatory Open Access to publications: beneficiaries shall ensure that they or the authors retain sufficient intellectual property rights to comply with open access requirements

Open Access to research data ensured: in line with the principle "as open as possible, as closed as necessary"; Mandatory Data Management Plan for FAIR (Findable, Accessible, Interoperable, Re-usable) and Open Research Data

https://ec.europa.eu/info/sites/info/files/research and innovat ion/strategy\_on\_research\_and\_innovation/presentations/hori zon europe en investing to shape our future.pdf



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e-usable d

#### HORIZON 2020 GRANTEES ARE REQUIRED

Accessible

**RESEARCH DATA - OPEN BY DEFAULT** 

take measures to ensure open access to the data underlying their scientific publications.

Findable 🖻

provide open access to any other research data of their choice

grantees are encouraged to also share datasets beyond publication

Horizon 2020

http://ec.europa.eu/research/press/2016/pdf/opendatainfographic 072016.pdf#view=fit&pagemode=none

## H2020 DMP

Based on FAIR principles

Initial DMP Detailed DMP Final Review DMP

Not only when **publishing data** but also **during the project!** 



1. Data summary

2. FAIR data

- 2.1 Making data findable, including provisions for metadata
- 2.2 Making data openly accessible
- 2.3 Making data interoperable
- 2.4 Increase data re-use (through clarifying licenses)
- 3. Allocation of resources
- 4. Data security
- 5. Ethical aspects
- 6. Other

## Next Step: Let's start writing a DMP!



# But after the break!



### DMPOnline

#### https://dmponline.dcc.ac.uk/



Public DMPs Funder re

equirements He

#### Welcome

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#### **ŤU**Delft

* Email		_
* Passwo	rd	
Forgot pas	sword? ber email	
Sign in		
	- or -	
Sign in	with your institutional credentials	

### Extra links

Data and Code licenses:

- <u>https://creativecommons.org/licenses/</u>
- <u>https://choosealicense.com/</u>
- <u>https://www.gnu.org/licenses/license-recommendations.html</u>

Archiving of data and/or code:

<u>https://softwaresaved.github.io/software-deposit-guidance/</u>

Discipline specific metadata standards:

- <u>http://rd-alliance.github.io/metadata-directory/subjects/engineering.html</u>
- https://link.springer.com/article/10.1057%2Fdam.2010.29
- <u>http://www.dcc.ac.uk/resources/metadata-standards</u>

Repositories:

- <u>https://www.re3data.org/</u>
- <u>https://guides.github.com/activities/citable-code/</u>

