

Quantum Chemical Embedding Methods

— Lecture 1 —

Johannes Neugebauer



Workshop on Theoretical Chemistry, Mariapfarr, 20–23.02.2018

Structure of This Lecture

- **Lecture 1: Subsystems in Quantum Chemistry**
 - subsystems in wave-function- and DFT- based Quantum Chemistry
 - basics of subsystem density-functional theory
- **Lecture 2: “Exact” Density-Based Embedding**
 - potential reconstruction
 - projection-based embedding
 - external orthogonality and the Huzinaga equation
- **Lecture 3: Embedded Wavefunctions, Density Matrices, etc.**
 - wave function-in-DFT embedding: ground states
 - wave function-in-DFT embedding: excited states
 - density-matrix embedding theory and bootstrap embedding

I. Introduction

Setting the Stage

General problem: Solving the Schrödinger Equation

$$\hat{H}\Psi = E\Psi$$

... for a really big system

Necessary steps:

- (1) construct the Hamiltonian
(for this specific system)
- (2) find the wavefunction that solves the SE
(or at least the best possible approximation to it)

The Molecular Hamiltonian

Total Hamiltonian:

$$\hat{H}_{\text{tot}} = \hat{T}_{\text{nuc}} + \hat{T}_{\text{el}} + \hat{V}_{\text{nuc,nuc}} + \hat{V}_{\text{el,el}} + \hat{V}_{\text{nuc,el}}$$

Kinetic-energy operators:

$$\hat{T}_{\text{nuc}} = - \sum_I^N \frac{\nabla_I^2}{2m_I}, \quad \hat{T}_{\text{el}} = - \sum_i^n \frac{\nabla_i^2}{2}$$

Potential-energy operators

$$\hat{V}_{\text{el,el}} = \sum_{i < j}^n \frac{1}{r_{ij}}, \quad \hat{V}_{\text{nuc,nuc}} = \sum_{I < J}^N \frac{Z_I Z_J}{R_{IJ}}, \quad \hat{V}_{\text{nuc,el}} = \sum_i^n \sum_I^N \frac{-Z_I}{R_{Ii}}$$

note: $r_{ij} = |\mathbf{r}_i - \mathbf{r}_j| = |\vec{r}_i - \vec{r}_j|$, and $R_{IJ} = |\mathbf{R}_I - \mathbf{R}_J| = |\vec{R}_I - \vec{R}_J|$, and $R_{Ii} = |\mathbf{R}_I - \mathbf{r}_i| = |\vec{R}_I - \vec{R}_i|$

\mathbf{r} = electronic coordinates, \mathbf{R} = nuclear coordinates, Z = nuclear charges

Units and Notation

Atomic units:

$$e \equiv 1 \text{ a.u. of charge}$$

$$m_{\text{el}} \equiv 1 \text{ a.u. of mass}$$

$$\hbar \equiv 1 \text{ a.u. of action}$$

$$4\pi\epsilon_0 \equiv 1 \text{ a.u. of permittivity}$$

Bra-Ket Notation:

$$|\Psi_i\rangle \equiv |i\rangle \equiv \Psi_i$$

$$\langle\Psi_i| \equiv \langle i| \equiv \Psi_i^*$$

$$\langle i|j\rangle = \langle\Psi_i|\Psi_j\rangle \equiv \int \Psi_i^* \Psi_j \mathbf{d}\mathbf{r}$$

$$\langle i|\hat{H}|j\rangle = \langle\Psi_i|\hat{H}|\Psi_j\rangle \equiv \int \Psi_i^* \hat{H} \Psi_j \mathbf{d}\mathbf{r}.$$

Electronic Hamiltonians

- assume “clamped nuclei” (nuclei fixed at \mathbf{R} ; $T_{\text{nuc}} = 0$)

$$\hat{H}_{\text{tot}} \longrightarrow \hat{H}_{\text{el}} = \hat{T}_{\text{el}} + \hat{V}_{\text{nuc,el}} + \hat{V}_{\text{el,el}} + \underbrace{\hat{V}_{\text{nuc,nuc}}}_{\text{constant}}$$

- solve electronic SE

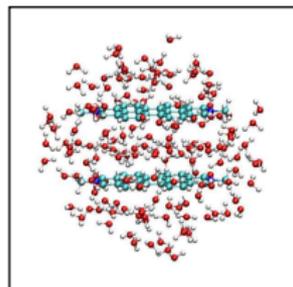
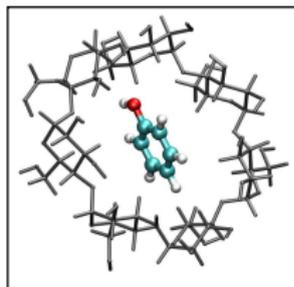
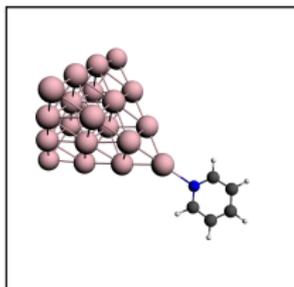
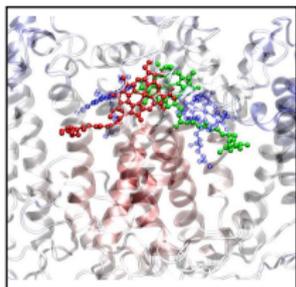
$$\hat{H}_{\text{el}}\Psi_{\text{el},i}(\mathbf{r}; \mathbf{R}) = E_{\text{el},i}(\mathbf{R})\Psi_{\text{el},i}(\mathbf{r}; \mathbf{R})$$

(Nuclear coordinates do not appear as an actual argument in $\Psi_{\text{el},i}$, but the solution of the electronic SE depends on the choice of \mathbf{R})

- from now on, we will only consider

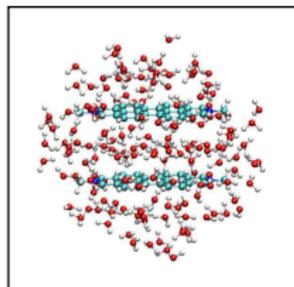
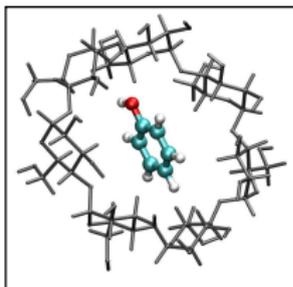
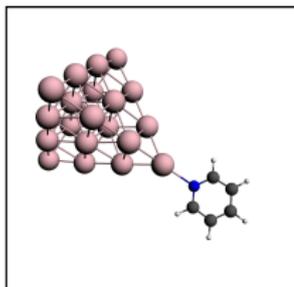
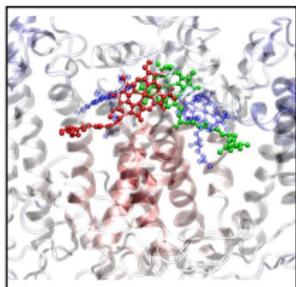
$$\hat{H} := \hat{T}_{\text{el}} + \hat{V}_{\text{nuc,el}} + \hat{V}_{\text{el,el}}$$

Why Embedding?



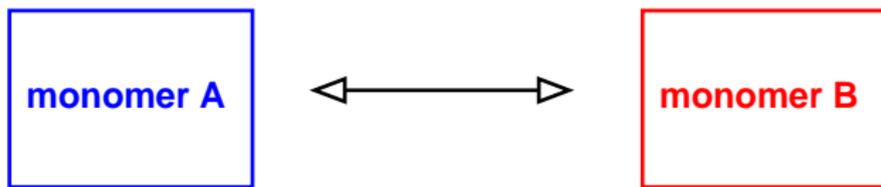
- many interesting (physico-)chemical processes take place in complex environments
- environments modulate active-system properties
- but: main characteristics are similar to isolated active systems
- brute-force approach: calculate the entire system!

Why Embedding?



- standard QC methods feature unfavorable scaling behavior
- e.g.: N^3 (DFT), N^5 (MP2), N^7 (CCSD(T))
- huge amount of CPU time would be spent on the small (but maybe important!) “modulating effect” of the environment
- sometimes the environment does not change anything ...

Interacting Subsystems: Some initial thoughts



- isolated monomers can be described by individual Hamiltonians:

$$\begin{aligned}\hat{H}_A \Psi_A &= E_A \Psi_A \\ \hat{H}_B \Psi_B &= E_B \Psi_B\end{aligned}$$

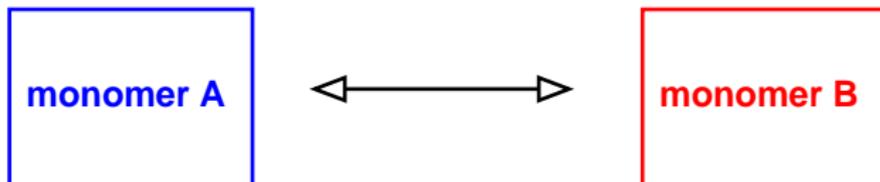
- assuming zero interaction, the total wavefunction can be factorized,

$$(\hat{H}_A + \hat{H}_B) \Psi_A \cdot \Psi_B = (E_A + E_B) \Psi_A \cdot \Psi_B$$

- true dimer Hamiltonian including interaction:

$$\hat{H}_{(A+B)} = \hat{H}_A + \hat{H}_B + \hat{H}_{A \leftrightarrow B}$$

Interacting Subsystems: Some initial thoughts



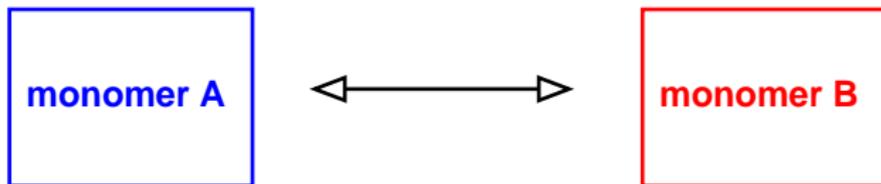
- if interaction is weak: use perturbation theory

$$E^{(1)} = \langle \Psi_A \Psi_B | \hat{H}_{A \leftrightarrow B} | \Psi_A \Psi_B \rangle$$

- form of the interaction Hamiltonian:

$$\hat{H}_{A \leftrightarrow B} = - \sum_{I \in A} \sum_{i \in B} \frac{Z_I}{|\mathbf{R}_I - \mathbf{r}_i|} - \sum_{I \in B} \sum_{i \in A} \frac{Z_I}{|\mathbf{R}_I - \mathbf{r}_i|} + \sum_{i \in A} \sum_{j \in B} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

Interacting Subsystems: Some initial thoughts



- if interaction is weak: use perturbation theory

$$E^{(1)} = \langle \Psi_A \Psi_B | \hat{H}_{A \leftrightarrow B} | \Psi_A \Psi_B \rangle$$

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- conceptual problem: electrons are **indistinguishable** particles!

Interacting Subsystems: Some initial thoughts

- wave function for N independent subsystems:

$$\Psi^{\text{tot}}(\mathbf{r}_{1_1}, \mathbf{r}_{2_1}, \dots, \mathbf{r}_{n_N}) = \Psi_1(\mathbf{r}_{1_1} \dots) \cdot \Psi_2(\mathbf{r}_{1_2} \dots) \dots \cdot \Psi_N(\mathbf{r}_{1_N} \dots \mathbf{r}_{n_N})$$

- non-interacting subsystems: product ansatz

Interacting Subsystems: Some initial thoughts

- wave function for N independent subsystems:

$$\Psi^{\text{tot}}(\mathbf{r}_{1_1}, \mathbf{r}_{2_1}, \dots, \mathbf{r}_{n_N}) = \Psi_1(\mathbf{r}_{1_1} \dots) \cdot \Psi_2(\mathbf{r}_{1_2} \dots) \dots \cdot \Psi_N(\mathbf{r}_{1_N} \dots \mathbf{r}_{n_N})$$

- non-interacting subsystems: product ansatz
- weak interaction: perturbation theory

Interacting Subsystems: Some initial thoughts

- wave function for N independent subsystems:

$$\Psi^{\text{tot}}(\mathbf{r}_{1_1}, \mathbf{r}_{2_1}, \dots, \mathbf{r}_{n_N}) = \hat{A} \Psi_1(\mathbf{r}_{1_1} \dots) \cdot \Psi_2(\mathbf{r}_{1_2} \dots) \dots \cdot \Psi_N(\mathbf{r}_{1_N} \dots \mathbf{r}_{n_N})$$

- non-interacting subsystems: product ansatz
- weak interaction: perturbation theory
- antisymmetrisation necessary

Interacting Subsystems: Some initial thoughts

- wave function for N independent subsystems:

$$\Psi^{\text{tot}}(\mathbf{r}_{1_1}, \mathbf{r}_{2_1}, \dots, \mathbf{r}_{n_N}) = \sum_{\{K_I\}} c_{\{K_I\}} \hat{A} \Psi_{K_1}(\mathbf{r}_{1_1} \dots) \cdot \Psi_{K_2}(\mathbf{r}_{1_2} \dots) \dots \cdot \Psi_{K_N}(\mathbf{r}_{1_N} \dots \mathbf{r}_{n_N})$$

- non-interacting subsystems: product ansatz
- weak interaction: perturbation theory
- antisymmetrisation necessary
- maybe: configuration interaction

Interacting Subsystems: Some initial thoughts

- wave function for N independent subsystems:

$$\Psi^{\text{tot}}(\mathbf{r}_{1_1}, \mathbf{r}_{2_1}, \dots, \mathbf{r}_{n_N}) = \sum_{\{K_I\}} c_{\{K_I\}} \hat{A} \Psi_{K_1}(\mathbf{r}_{1_1} \dots) \cdot \Psi_{K_2}(\mathbf{r}_{1_2} \dots) \dots \cdot \Psi_{K_N}(\mathbf{r}_{1_N} \dots \mathbf{r}_{n_N})$$

- non-interacting subsystems: product ansatz
- weak interaction: perturbation theory
- antisymmetrisation necessary
- maybe: configuration interaction
- conceptually simpler: subsystem density-functional theory

$$\rho_{\text{tot}}(\mathbf{r}) = \sum_{I=1}^N \rho_I(\mathbf{r})$$

II. Subsystem DFT and Frozen-Density Embedding

Subsystem DFT

- total density:

$$\rho(\mathbf{r}) = \sum_i |\phi_i^{\text{super}}(\mathbf{r})|^2$$

- partition into subsystem contributions:

$$\rho(\mathbf{r}) = \sum_I \rho_I(\mathbf{r})$$

- write each ρ_I in terms of subsystem orbitals

$$\rho_I(\mathbf{r}) = \sum_i |\phi_{i_I}(\mathbf{r})|^2$$

- assume all ϕ_{i_I} are known (but not ϕ_i^{super})

Subsystem DFT

- reminder: KS energy expression

$$E[\rho] = T_s[\{\phi_i\}] + V_{\text{ext}}[\rho] + J[\rho] + E_{xc}[\rho]$$

- if ρ is given as sum of subsystem densities:

$$V_{\text{ext}}[\rho] = V_{\text{ext}}[\rho_1 + \rho_2 + \dots]$$

$$J[\rho] = J[\rho_1 + \rho_2 + \dots]$$

$$E_{xc}[\rho] = E_{xc}[\rho_1 + \rho_2 + \dots]$$

- problem for calculations of KS energy: $T_s[\{\phi_i^{\text{super}}\}]$

Subsystem DFT

- write T_s formally exactly as

$$T_s[\{\phi_i^{\text{super}}\}] = \sum_I T_s[\{\phi_{iI}\}] + \left[T_s[\{\phi_i^{\text{super}}\}] - \sum_I T_s[\{\phi_{iI}\}] \right]$$

or

$$T_s[\{\phi_i^{\text{super}}\}, \{\{\phi_{iJ}\}\}] = \sum_I T_s[\{\phi_{iI}\}] + T_s^{\text{nad}}[\{\phi_i^{\text{super}}\}, \{\{\phi_{iJ}\}\}]$$

- introduce density-dependent approximation,

$$T_s^{\text{nad}}[\{\phi_i^{\text{super}}\}, \{\{\phi_{iJ}\}\}] \approx T_s^{\text{nad}}[\{\rho_J\}] = T_s[\rho] - \sum_I T_s[\rho_I]$$

One-Particle Equations in Subsystem DFT

- energy functional:

$$E[\{\rho_J\}] = E_{\text{ext}}[\rho] + J[\rho] + E_{xc}[\rho] + \sum_I T_s[\{\phi_{i_I}\}] + T_s^{\text{nad}}[\{\rho_J\}],$$

- choose no. of electrons per subsystem (N_J)
- construct Lagrangian

$$L[\{\rho_J\}] = E[\{\rho_J\}] + \sum_I \mu_I \left(\int d^3r \rho_I(\mathbf{r}) - N_I \right)$$

and minimize w.r.t. all ρ_K

⇒ Euler–Lagrange equations:

$$0 = v_{\text{ext}}(\mathbf{r}) + v_{\text{Coul}}[\rho](\mathbf{r}) + v_{xc}[\rho](\mathbf{r}) + \frac{\delta T_s[\{\phi_{i_I}\}]}{\delta \rho_K(\mathbf{r})} + \frac{\delta T_s^{\text{nad}}[\{\rho_I\}]}{\delta \rho_K(\mathbf{r})} + \mu_K$$

Frozen-Density Embedding

- assume all subsystem densities are v_s -representable
- ⇒ subsystem orbitals can be obtained from

$$\left(-\frac{1}{2}\nabla^2 + v_{\text{eff}}^{\text{sub}}[\rho, \rho_I](\mathbf{r}) \right) \phi_{iI} = \epsilon_{iI} \phi_{iI},$$

- ⇒ if we choose

$$v_{\text{eff}}^{\text{sub}}[\rho, \rho_I](\mathbf{r}) = v_{\text{eff}}[\rho](\mathbf{r}) + \frac{\delta T_s[\rho]}{\delta \rho(\mathbf{r})} - \frac{\delta T_s[\rho_I]}{\delta \rho_I(\mathbf{r})},$$

the systems of non-interacting particles fulfill

$$v_{\text{eff}}^{\text{sub}}[\rho, \rho_I](\mathbf{r}) + \frac{\delta T_s[\{\phi_{iI}\}]}{\delta \rho_I(\mathbf{r})} + \mu_I = 0$$

- ⇒ these are the sought-for densities

Embedding Potential

- define complementary density to $\rho_I(\mathbf{r})$

$$\rho_I^{\text{compl.}}(\mathbf{r}) = \sum_{J, J \neq I} \rho_J(\mathbf{r}) = \rho(\mathbf{r}) - \rho_I(\mathbf{r})$$

- one-particle equations become

$$\left(-\frac{1}{2} \nabla^2 + v_{\text{eff}}[\rho_I](\mathbf{r}) + v_{\text{emb}}[\rho_I, \rho_I^{\text{compl.}}] \right) \phi_{iI} = \epsilon_{iI} \phi_{iI}$$

(Kohn–Sham equations with constrained electron density, KSCED)

- embedding potential

$$\begin{aligned} v_{\text{emb}}[\rho_I, \rho_I^{\text{compl.}}](\mathbf{r}) &= \sum_{J, J \neq I} v_{\text{ext}}^J(\mathbf{r}) + \sum_{J, J \neq I} v_{\text{Coul}}[\rho_J](\mathbf{r}) \\ &+ \{v_{\text{xc}}[\rho](\mathbf{r}) - v_{\text{xc}}[\rho_I](\mathbf{r})\} + \frac{\delta T_s[\rho]}{\delta \rho(\mathbf{r})} - \frac{\delta T_s[\rho_I]}{\delta \rho_I(\mathbf{r})} \end{aligned}$$

Solution of the KSCED equations

- 1 define subsystems ($\mathbf{R}_A, Z_A, A \in I$, and N_I)
- 2 provide density guess for each subsystem; most common:
solve KS equations for all isolated subsystems
- 3 loop over all subsystems:
 - calculate embedding potential due to all other subsystems
 - solve KSCED equations for currently active subsystem I
 - update density ρ_I
 - if density change in system I is negligible: stop
 - otherwise: next cycle in loop

Note: step 2 is crucial for the definition of the subsystems

III. Kinetic Energy in different DFT variants

Kinetic Energy in DFT

Kohn–Sham DFT:

$$T \rightarrow T_s[\{\psi_i\}] \quad ; \text{ actually: } \min_{\{\psi_i\} \rightarrow \rho} \left(\sum_i \langle \phi_i | -\nabla^2/2 | \phi_i \rangle \right)$$

Orbital-free DFT:

$$T \rightarrow T[\rho] \quad (\text{density-dependent approximation})$$

Subsystem DFT:

$$T \rightarrow \sum_I T_s[\{\phi_i\}] + T_s^{\text{nad}}[\{\rho_J\}] \quad (\text{density-dependent approx. for } T_s^{\text{nad}} \text{ only})$$

Cluster expansion:

$$T \rightarrow \sum_I T_s[\{\phi_i\}] + \sum_{I < J} \Delta T_s^{IJ} + \sum_{I < J < K} \Delta T_s^{IJK} + \dots$$

with $\Delta T_s^{IJ} = T_s[\{\phi_i^{I+J}\}] - T_s[\{\phi_{i_i}\}] - T_s[\{\phi_{j_i}\}]$ etc.

Non-additive kinetic-energy functionals

Common decomposable approximations:

- general structure: $T_s^{\text{nad}}[\{\rho_I\}, \rho] = T_s[\rho] - \sum_I T_s[\rho_I]$
- Thomas–Fermi approximation

$$T_s[\rho] \approx T_{\text{TF}}[\rho] = C_F \int \rho^{5/3}(\mathbf{r}) \mathbf{d}\mathbf{r}$$

L.A. Thomas, *Proc. Camb. Phil. Soc.* **23** (1927) 542; E. Fermi, *Z. Physik* **48** (1928) 73.

exact for homogeneous electron gas (“LDA” for kinetic energy)

- von Weizsäcker approximation

$$T_s[\rho] \approx T_{\text{vW}}[\rho] = \frac{1}{8} \int \frac{|\nabla \rho(\mathbf{r})|^2}{\rho(\mathbf{r})} \mathbf{d}\mathbf{r}$$

C.F. von Weizsäcker, *Z. Physik* **96** (1935) 431.

exact for one-orbital systems

Kinetic-Energy Functionals: An Example

- assume one-orbital system, $\rho(\mathbf{r}) = n \cdot |\phi(\mathbf{r})|^2$

$$\begin{aligned} T = T[\phi] &= -n \cdot \frac{1}{2} \int \phi(\mathbf{r}) \nabla^2 \phi(\mathbf{r}) d\mathbf{r} \\ &= \frac{n}{2} \int (\nabla \phi(\mathbf{r})) (\nabla \phi(\mathbf{r})) d\mathbf{r} \\ &= \frac{1}{2} \int \left(\nabla \sqrt{\rho(\mathbf{r})} \right) \left(\nabla \sqrt{\rho(\mathbf{r})} \right) d\mathbf{r} \\ &= \frac{1}{2} \int \left(\frac{1}{2\sqrt{\rho(\mathbf{r})}} \nabla \rho(\mathbf{r}) \right) \left(\frac{1}{2\sqrt{\rho(\mathbf{r})}} \nabla \rho(\mathbf{r}) \right) d\mathbf{r} \\ &= \frac{1}{8} \int \frac{|\nabla \rho(\mathbf{r})|^2}{\rho(\mathbf{r})} d\mathbf{r} \end{aligned}$$

⇒ von Weizsäcker functional $T_{\text{vW}}[\rho]$, exact for one-orbital systems

Non-additive kinetic-energy functionals

- systematic gradient expansion of kinetic energy:

$$T_s[\rho] \approx T_{\text{TF}}[\rho] + \lambda T_{\text{vW}}[\rho]$$

with $\lambda = 1/9$

- empirically: $\lambda = 1/5$ gives better results
- GGA-type kinetic-energy functionals:

$$T_s[\rho] \approx C_F \int \rho^{5/3}(\mathbf{r}) F(s) d\mathbf{r}$$

with $s(\mathbf{r}) = \frac{|\nabla\rho(\mathbf{r})|}{2\rho(\mathbf{r})k_F}$ (reduced density gradient) and $k_F = [3\pi^2\rho(\mathbf{r})]^{1/3}$

Non-additive kinetic-energy functionals

- conjointness hypothesis: choose $F(s)$ as in GGA-X functionals

H. Lee, C. Lee, R.G. Parr, *Phys. Rev. A* **44** (1991), 768.

- original LLP functional: conjoint to B88 exchange

- popular example: “PW91k” with $F(s)$ conjoint to PW91-X

(reparametrized by Lembarki and Chermette)

$$F_{\text{PW91k}} = \frac{1 + 0.093907s \operatorname{arcsinh}(76.32s) + \left(0.26608 - 0.0809615e^{-100s^2}\right) s^2}{1 + 0.093907s \operatorname{arcsinh}(76.32s) + 0.57767 \cdot 10^{-4}s^4}$$

A. Lembarki, H. Chermette, *Phys. Rev. A* **50** (1994), 5328.

- Huang–Carter functionals contain non-local contributions

J. Xia, C. Huang, I. Shin, E.A. Carter, *J. Chem. Phys.* **136** (2012), 084102.

IV. Technical Aspects

Technical Aspects

Additional matrix elements needed:

$$\langle \chi_i | v_{\text{emb}} | \chi_j \rangle = \int \chi_i^*(\mathbf{r}) v_{\text{emb}}(\mathbf{r}) \chi_j(\mathbf{r}) d\mathbf{r}$$

- Coulomb part of $v_{\text{emb}}(\mathbf{r})$ is linear in the density:

$$v_{\text{emb}}^{\text{Coul}}(\mathbf{r}) = \int \frac{\rho_I^{\text{compl.}}(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}'$$

⇒ is calculated only once in every subsystem calculation

- XC and non-add. kinetic energy contributions are non-linear in ρ
- example: Thomas–Fermi non-add. kinetic-energy potential:

$$v_{\text{tsnad}}^{\text{TF}}(\mathbf{r}) = \frac{5}{3} C_F \left\{ \left[\rho_I(\mathbf{r}) + \rho_I^{\text{compl.}}(\mathbf{r}) \right]^{2/3} - [\rho_I(\mathbf{r})]^{2/3} \right\}$$

⇒ re-calculated in every SCF cycle

Monomer vs. Supermolecular Expansion

of subsystem densities

- main computational advantage with monomer basis sets:

$$\phi_{i_1} = \sum_{\nu_1} c_{i_1\nu_1} \chi_{\nu_1}, \quad \phi_{i_2} = \sum_{\nu_2} c_{i_2\nu_2} \chi_{\nu_2}$$

$$\rho = \sum_{i_1}^{\text{occ}_1} \left| \sum_{\nu_1} c_{i_1\nu_1} \chi_{\nu_1} \right|^2 + \sum_{i_2}^{\text{occ}_2} \left| \sum_{\nu_2} c_{i_2\nu_2} \chi_{\nu_2} \right|^2$$

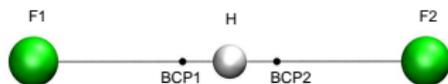
- properties may converge faster with supermolecular basis:

$$\phi_{i_{1/2}} = \sum_{\nu_1} c_{i_{1/2}\nu_1} \chi_{\nu_1} + \sum_{\nu_2} c_{i_{1/2}\nu_2} \chi_{\nu_2}$$

$$\rho = \sum_{i_1}^{\text{occ}_1} \left| \sum_{\nu_1} c_{i_1\nu_1} \chi_{\nu_1} + \sum_{\nu_2} c_{i_1\nu_2} \chi_{\nu_2} \right|^2 + \sum_{i_2}^{\text{occ}_2} \left| \sum_{\nu_1} c_{i_2\nu_1} \chi_{\nu_1} + \sum_{\nu_2} c_{i_2\nu_2} \chi_{\nu_2} \right|^2$$

- sometimes helpful: monomer + buffer region basis

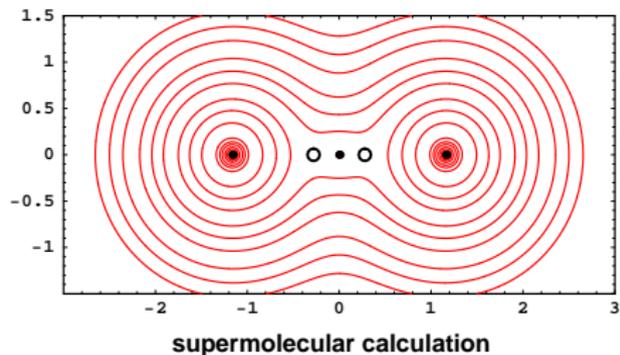
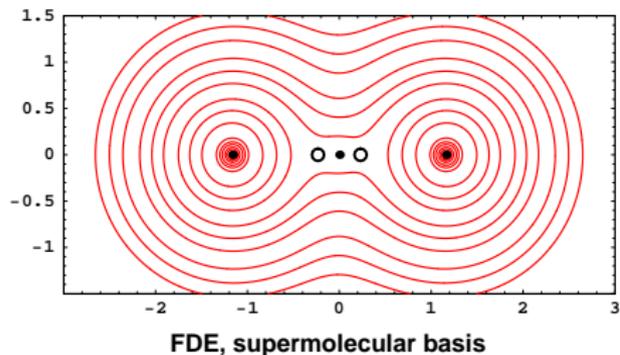
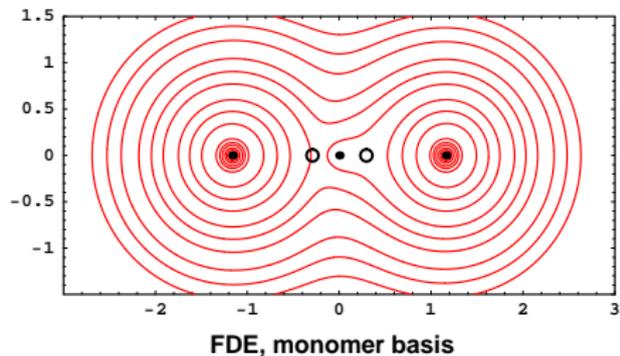
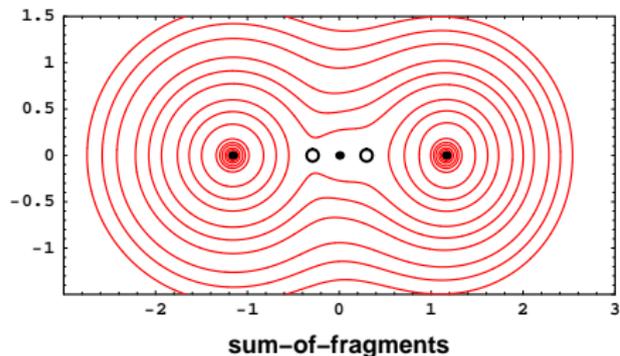
Electron Densities from FDE: F–H–F⁻



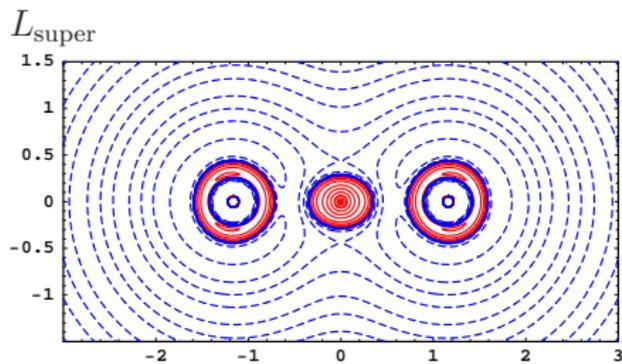
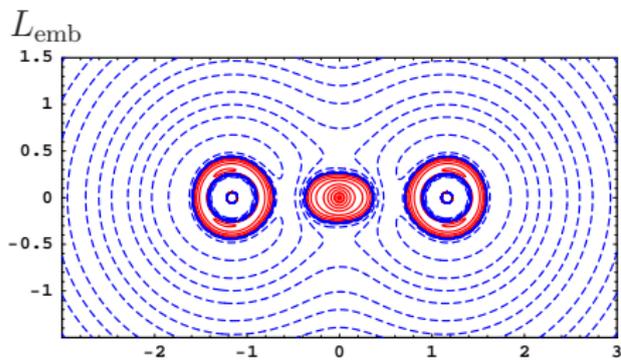
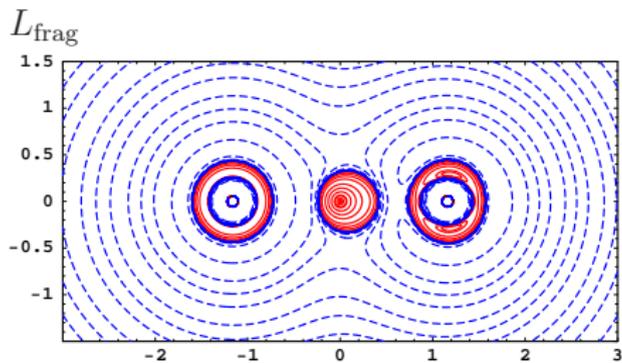
- strong, symmetric hydrogen bonds
- ρ_1 : H–F2, ρ_2 : F1⁻ \Rightarrow asymmetric fragments, strong polarization

K. Kiewisch, G. Eickerling, M. Reiher, J. Neugebauer, *J. Chem. Phys.* **128** (2008), 044114.

Electron Densities from FDE: F–H–F⁻



F–H–F⁻: negative Laplacian L



Linearizing the Non-Additive Parts

Non-additive kinetic energy:

- example: 2 subsystems, Thomas–Fermi (LDA)

$$T_s^{\text{nad,TF}}[\rho_A, \rho_B] = C_F \int \left[(\rho_A(\mathbf{r}) + \rho_B(\mathbf{r}))^{5/3} - \rho_A^{5/3}(\mathbf{r}) - \rho_B^{5/3}(\mathbf{r}) \right] d\mathbf{r}$$

- linear approximation for T_s^{nad} based on reference density $\rho_{A,0}$
(e.g., from isolated system A)

$$T_s^{\text{nad}}[\rho_A, \rho_B] \approx T_s^{\text{nad}}[\rho_{A,0}, \rho_B] + \int \frac{\delta T_s^{\text{nad}}[\rho, \rho_B]}{\delta \rho(\mathbf{r})} \Big|_{\rho=\rho_{A,0}} (\rho_A(\mathbf{r}) - \rho_{A,0}(\mathbf{r})) d\mathbf{r}$$

⇒ functional derivative of $T_s^{\text{nad}}[\rho_A, \rho_B]$ in linearization approximation:

$$\frac{\delta T_s^{\text{nad}}[\rho, \rho_B]}{\delta \rho(\mathbf{r})} \Big|_{\rho=\rho_A} \approx \frac{\delta T_s^{\text{nad}}[\rho, \rho_B]}{\delta \rho(\mathbf{r})} \Big|_{\rho=\rho_{A,0}}$$

Linearizing the Non-Additive Parts

kinetic-energy potential:

- TF case:

$$\begin{aligned}v_{\text{tsnad}}^{\text{TF}}[\rho_A, \rho_B](\mathbf{r}) &= \left. \frac{\delta T_s^{\text{nad}}[\rho, \rho_B]}{\delta \rho(\mathbf{r})} \right|_{\rho=\rho_A} \\ &= \frac{5}{3} C_F \int \left[(\rho_A(\mathbf{r}) + \rho_B(\mathbf{r}))^{2/3} - \rho_A^{2/3}(\mathbf{r}) \right] d\mathbf{r}\end{aligned}$$

⇒ needs to be updated whenever ρ_A changes (every SCF cycle)

- with linearization approximation for T_s^{nad}

$$\begin{aligned}v_{\text{tsnad}}^{\text{TF}}[\rho_A, \rho_B](\mathbf{r}) &\approx \left. \frac{\delta T_s^{\text{nad}}[\rho, \rho_B]}{\delta \rho(\mathbf{r})} \right|_{\rho=\rho_{A,0}} = v_{\text{tsnad}}^{\text{TF}}[\rho_{A,0}, \rho_B](\mathbf{r}) \\ &= \frac{5}{3} C_F \int \left[(\rho_{A,0}(\mathbf{r}) + \rho_B(\mathbf{r}))^{2/3} - \rho_{A,0}^{2/3}(\mathbf{r}) \right] d\mathbf{r}\end{aligned}$$

⇒ independent of ρ_A , can be pre-calculated before SCF

Effects of the Linearization Approximation for T_s^{nad}

Relative Errors:

- shifts in orbital energies: typically $\mathcal{O}(10^{-2})$
- shifts in dipole moments: typically < 0.06
- non-additive kinetic energies: typically < 0.001
- exception:
Charge-transfer complexes with large density rearrangement,
e.g., for $\text{NH}_3 \cdots \text{ClF}$:

$$|\delta\Delta\mu/\Delta\mu| = 287.6/983.1 = 29.3\%$$