High resolution monitoring of expansion joints of a concrete arch dam using fiber optic sensors*

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Abstract

In many countries existing hydro-electric power plants are currently modified to operate in pump-storage mode. This type of operation significantly increases the number of load cycles of the dams and induces high frequent deformations with low amplitudes. Current monitoring systems are not sensitive enough to measure these small scale deformations.

In this paper we report about the development of an autonomous monitoring system for block joint movements based on fiber optic strain measurements. The monitoring system is based on FBG (fiber Bragg grating) sensors. One key factor of such a system is the optimal sensor configuration to ensure the measurement of the whole displacement range without overlapping signals from different sensors. Another important aspect of the system design is that the FBG sensors have to withstand also movements during extreme events. Such an event occurs every five to ten years when the water reservoir is entirely emptied for inspection purposes. Due to the missing water load the joints experience maximum expansion during this event.

The developed system was installed in autumn 2013 in a 122m high concrete arch dam in Austria. Fifteen expansion joints of one maintenance corridor were equipped with FBG sensors. Continuous long term measurements started right after the installation of the measurement systems. We report the results of the first two months of operation. We demonstrate that the impact of small water level changes which typically occur in pump-storage operation can be measured reliably with the developed monitoring system. A water level change of 1m results in length changes of the expansion joints of up to $6\mu m$.

Temperature induced movements can also be measured due to the high precision of $0.4\mu m$ of the monitoring system. Daily temperature variations result in cyclic expansion joint movements with amplitudes up to $4.5\mu m$.

The developed monitoring system is the basis for modern structural monitoring of concrete dams with pump-storage operation. The high measurement resolution gives new insights into the structural behavior of dams and can potentially improve the design of new dams.

Keywords: Structural Monitoring, Concrete Dam, Pump-storage plant, Fiber Optic Sensor, FBG, SHM

I. INTRODUCTION

Water power plants are an important source of sustainable energy. In Austria more than 50% of the power production is based on water power plants. Within the last 70 years more than twenty large concrete dams were built in alpine area. Most of these dams are gravity or arch dams and are traditionally operated as storage power stations. Due to legal regulations these structures have to be monitored in regular intervals. Conventional structural monitoring systems of dams consist of geotechnical and geodetic measurements and are optimized to detect movements due to seasonal temperature changes and due to slow water level changes of the reservoir. Many of the monitoring programs are based on epoch wise measurements which take place only a few times per year. These measurement concepts are adequate for the operation type for which the hydro-electric dams were originally built for.

However, within the last years many of these hydro-electric power plants were modified to pump-storage plants where the same water is used several times. Water from the reservoir is used during peak times for power production and at times with low energy prices the water is pumped up from a collecting basin back to the reservoir at higher altitude. This type of operation significantly increases the number of load cycles of the dam and does require new monitoring techniques. We therefore developed a new monitoring system for the continuous and high resolution monitoring of expansion joints of concrete arch dams. The system was installed in the water dam Kops in 2013.

II. THE WATER DAM KOPS

The Kops dam is located in the western part of Austria, at a sea level height of 1800m. It has an active storage volume of 42.9 mio. m³. The special feature of this dam is its construction design. It consists of two distinct parts which are an arch dam and a gravity dam. Both dam parts are connected by an artificial bearing, see Fig. 1. The arch dam has a crest length of 400m and a height of 122m. The crest length of the gravity dam is 214m and its height is 43m [1]. The arch dam was built in 27 vertical blocks and finished in 1965. Until 2008 the dam was used in standard storage mode. In 2008 the power plant was modified to pump-storage operation.

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The health of the dam is evaluated based on geotechnical measurements and periodic geodetic measurements. The geodetic measurement program consists of precise leveling in maintenance corridors and at the crest as well as geodetic traverse and network measurements of points within the corridors and on the dam surface. Furthermore, displacement dial gauges are used to measure relative movements of the concrete blocks of the dam, Fig. 2. These manual measurements are carried out quarterly.

The current measurement concept was designed for conventional reservoir operation. In this operation mode, the seasonal variation of the expansion joint movement is up to 2mm. After the change to pump-storage operation in 2008, the number of load cycles increased significantly. However, these high frequent changes induce deformations with smaller amplitudes. Due to the low measurement rate (1 measurement per every 4 month) and the limited measurement precision of the manual dial gauges (approximately 0.02mm to 0.05mm depending on operator) deformations of the concrete joints induced by pump storage operation could not be detected until now.

One way to upgrade the monitoring system to an automated system would be the use of electric dial gauge sensors. However, using electric dial gauges for the monitoring of the 60 concrete joints would result in a high installation effort. Because these sensors require a power supply and cabling to each sensor. Furthermore, large temperature changes and high humidity might cause problems.

Fiber optic sensors are better suited to fulfill the requirements in this application due to their high measurement resolution and the possibility to place several sensors on a single fiber. Fiber optic sensors are already used for monitoring applications in hydroelectric dams, such as distributed temperature sensing for leakage detection measurements [2]. However, they have not been applied yet for the monitoring of concrete joint deformations. The Institute of Engineering Geodesy and Measurement Systems (EGMS) of Graz University of Technology developed a fiber optic measurement system based on fiber Bragg gratings (FBG) for the monitoring of the concrete joint movements of the water dam Kops.
III. Fiber Bragg Grating Sensors

FBG sensors are created through a periodic change of the refractive index in the core of a glass fiber. Part of the light transmitted in a fiber gets reflected at the position of the grating. The center wavelength of the reflected signal $\lambda_B$ can be determined from the Bragg condition [3].

$$\lambda_B = 2 \cdot n_{eff} \cdot \Lambda$$

(1)

Where $n_{eff}$ is the effective refractive index and $\Lambda$ is the period of the refractive index changes. The Bragg wavelength is affected by strain and temperature changes. The relation between strain and temperature induced wavelength shift can be approximated with the linear function

$$\frac{\Delta \lambda(\varepsilon, T)}{\lambda_B} = k_{\varepsilon} \cdot \varepsilon + k_T \cdot \Delta T$$

(2)

with

- $k$ ... gage factor
- $\varepsilon$ ... applied strain
- $\alpha_T$ ... change of refractive index
- $\Delta T$ ... temperature change

Alternatively the FBG strain $k_{\varepsilon}$ and temperature $k_T$ sensitivity can be expressed in pm/$\mu$e and pm/K. Typical values are $k_{\varepsilon} = 1.2$ pm/$\mu$e for a strain sensor and $k_T = 10$ pm/K for a temperature sensor, e.g. [3]. Therefore, a temperature change of 1K and a strain change of 8$\mu$m/m (8$\mu$e) yield the same measurement signal. In order to separate temperature from strain, a FBG temperature sensor placed in the vicinity of the FBG strain sensor, can be used.

The appropriate selection of the center wavelength of the FBG sensors as well as the selection of a suitable FBG interrogator are crucial elements in the development of a monitoring system with many sensors and large strains. To separate the signals of two sensors, the FBG wavelengths must not overlap. This can significantly reduce the maximum possible number of sensors on one single fiber, depending on the wavelength range of the interrogator and the deformations of the object. Another limiting factor is the dynamic range of the interrogator, which is especially important if the core diameter of the glass fiber in a strain chain changes.

IV. Fiber Optic Measurement Concept for the Kops Dam

The Kops arch dam has more than 60 dial gauge measurement points. Since the performance and lifetime of fiber optic sensors in this application were unknown it was decided to upgrade, in a first step, only 15 of the manual dial gauge measurement points with fiber optic sensors. All sensors are located in the highest maintenance corridor as can be seen in Fig. 3.

![Fig. 3. Selected sensor positions in the arch dam, distributed on three fiber optic chains](image)

Today, many FBG strain gauges with different designs are commercially available. For this project, the SYLEX SC-01 sensor was selected and its performance was intensively tested in laboratory investigations. Fig. 4 shows the SYLEX SC-01 sensor. The temperature sensing FBG is encapsulated in one of the anchors of the sensor where it does not experience strain. The strain sensitive FBG is stretched between the anchors and protected by a plastic tube. This sensor design allows a wide
adjustment range for pretensioning and assures sufficient mechanical protection. Furthermore, the sensor is watertight for continuous submerge in a depth of 1m (IP68 [4]).

Fig. 4. SYLEX SC-01 sensor [6]

The SYLEX SC-01 sensors where chosen with draw tower gratings (DTGs) as sensitive elements. Due to the production process very high strains of up to 50000μm/m can be measured using these gratings, [5]. This high strain measurement is not possible with standard gratings. However, information about the long term stability at high strains are not available and SYLEX conservatively specifies the long term strain range with ±5000μm/m (1%) [6].

In order to further reduce outage probability, a sensor length of 0.5m was chosen. Taking maximum expansion joint movements of about 3mm, known from dial gauge measurements, and a free fiber length of the sensor of 0.4m into account, the gratings must withstand a maximum strain of about 7500μm/m (0.75%) which was expected to be appropriate for long term operation (≥10 year). However, using several DTG sensors on a long chain, signal loss computation must be carefully operated due to the smaller core of the DTG fiber.

As measurement unit, a SM130 from Micron Optics was selected [7]. This interrogator has a wavelength resolution of 1pm and a maximum sampling rate of 1kHz. Furthermore, the instrument is equipped with four independent measurement channels. With a sensor free fiber length of 0.4m and the high wavelength resolution of 1pm, the minimum strain resolution is 0.3μm.

By using four measurement channels, all 30 FBGs (15 strain and 15 temperature sensors) can be measured simultaneously. A sixteenth sensor was installed as reference sensor in stable rock. This reference sensor is used to assess the long term stability of the measurement system and to detect any potential drift. Fig. 5 shows a schematic installation plan for the first measurement chain with 10 FBG sensors (5 strain sensors and 5 temperature sensors) and the reference sensor on a separate channel.

Fig. 5. Sensor chain A with five strain sensors (S) and five temperature sensors (T)

With respect to the wavelength and dynamic range of the interrogator, and the expected strain at the different expansion
joints, the sensors were arranged in three measurement chains (A, B, C), each connected to a separate channel of the interrogator.

V. LABORATORY INVESTIGATIONS OF THE SENSORS

The strain and temperature coefficients of the chosen sensors are given by the manufacturer. In order to verify these values, but especially for choosing the appropriate sensor type [8], we calibrated several sensors on our unique fiber optic calibration facility [9]. In this paper we discuss the calibration results of three mounted sensors as well as for the laboratory sensor. One of each chain. As will be shown, the sensors show similar effects.

A. Temperature calibration

Every fiber optic sensor is temperature dependent. This relation is usually expressed by a linear temperature coefficient. Depending on the application and on the temperature range it may also be necessary to use a non-linear calibration function to guarantee high precision over a large temperature range [10]. In this project, the expected range of the yearly temperature cycle in the arch dam is 25K. Based on this information, a standard linear calibration function is sufficient. In order to determine the temperature coefficient, each sensor was placed into a climate chamber and exposed to specific temperature cycles. Using these data the temperature coefficients were determined by least squares adjustment.

The temperature coefficient of the strain FBG and the temperature FBG should be the same and was expect to be about 10 pm/K [3]. However, the results of the calibration show that the coefficients of the strain and temperature FBG are significantly different, see Table I, which might be caused by the manufacturing of the sensor with a different kind of production for the temperature and strain FBG sensor.

<table>
<thead>
<tr>
<th>sensor</th>
<th>temperature coefficients [pm/K]</th>
<th>strain FBG</th>
<th>temperature FBG</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>12.85</td>
<td>8.26</td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>13.05</td>
<td>8.26</td>
<td></td>
</tr>
<tr>
<td>C</td>
<td>12.79</td>
<td>7.96</td>
<td></td>
</tr>
</tbody>
</table>

Therefore, the wavelength change of an induced temperature cannot directly be removed from the measured wavelength of the strain sensor. Data evaluation of the chosen sensors also shows another interesting effect. Fig. 6 displays the results of one temperature experiment of sensor B4. First the temperature in the temperature chamber was reduced by −30°C. After an acclimatization time of about 2h the temperature was slowly increased to the initial temperature. The reference temperature was measured with a fast reacting and calibrated PT100 temperature sensor. As can be seen, the temperature sensor of the SYLEX SC-01 has a lower reaction time (∼20min) than the strain sensor. Due to the slow temperature change inside the arch dam this delay is not critical in this application. However, in applications with fast temperature changes the different response time of both sensors has to be taken into account.

Fig. 6. Temperature comparison: PT-100 (top) vs. SYLEX SC-01 (bottom)
B. Strain calibration

Each SYLEX SC-01 sensor is delivered with a calibration protocol to convert measured wavelength changes to strain values. The precision of the calculated strain using the provided calibration function is stated as $1.7 \mu m/m$. Since, a correct calibration function is critical for the dam monitoring application EGMS independently calibrated all sensors in the EGMS temperature controlled measurement laboratory with its fully automated fiber optic calibration facility [9]. In this facility fiber optic sensors can be controlled elongated and shortened by an automatic translation stage. The reference length change is measured with a laser interferometer [8]. With this test facility, it is possible to calibrate sensors with a maximum length of 30m and a maximum sensor elongation of 30cm, Fig. 7.

![Calibration facility for fiber optic strain sensors at the EGMS measurement laboratory](image)

Fig. 7. Calibration facility for fiber optic strain sensors at the EGMS measurement laboratory

All SYLEX SC-01 sensors used in the dam monitoring project were calibrated independently. Fig. 8 shows the result of a typical calibration run. The sensor was elongated four times from 0 to 3.6mm in a 0.2mm interval. The initial position (0mm) was determined experimentally and set to the position where $\lambda_B$ did not change any more when shortening the sensor. In Fig. 8 the interferometric reference distances are denoted as $L$ and the measurement error of the fiber optic system as $\Delta L$. $\Delta L$ is calculated as $L$ minus FBG value converted with calibration function from manufacturer, as given on the calibration sheet which was delivered with the sensor.

![Calibration results of a Sylex SC-01 (laboratory) sensor](image)

Fig. 8. Calibration results of a Sylex SC-01 (laboratory) sensor

The sensor shows a large error of 0.4mm at very low strain values. This highly non-linear effect might be caused by an almost loose fiber inside the protection tube. The sensor was ordered with a low pre-strain of about $200 \mu m/m$. Since the protective tube of the sensor is very flexible it curves if the sensor is unstrained which obviously is the reason for this effect. Later, when installing the sensors in the hydro-electric dam, the sensors were sufficiently pre-strained to allow the unaffected measurement of negative strain too. For elongations of 1mm and more, the data shows an almost linear deviation
of about 0.2% and a small hysteresis of about 3.6 μm (sensor length 0.5 m).

The calibration results of all investigated sensors show the same effects, with respect to hysteresis and linearity. However, some sensors have a more significant non-linear behavior. Therefore, a quadratic calibration function was chosen and its coefficients were determined. Fig. 9 gives a comparison between the linear and quadratic estimation for the laboratory sensor.

![Fig. 9. Comparison of linear, quadratic and manufacturer calibration for Sylex SC-01 laboratory sensor](image)

It can be seen that the calibration function from the manufacturer delivers deviations up to 4.8 μm. Using a standard linear calibration function the range of the deviations are up to 6.0 μm. When using the EGMS quadratic calibration function the full potential of the FBG sensors can be accessed. The only remaining deviations are caused by the hysteresis which is about 3.1 μm. A summary of the results is given in Table II.

<table>
<thead>
<tr>
<th>Sensor</th>
<th>Hysteresis [μm]</th>
<th>Non-Linearity [%]</th>
<th>Max. Divergence [μm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Linear</td>
<td>3.1</td>
<td>0.1</td>
<td>6.0</td>
</tr>
<tr>
<td>Quadratic</td>
<td>3.1</td>
<td>0.1</td>
<td>3.7</td>
</tr>
<tr>
<td>Manufacturer</td>
<td>3.6</td>
<td>0.2</td>
<td>4.8</td>
</tr>
</tbody>
</table>

The main results of the EGMS calibration facility are strain coefficients to convert measured wavelength shifts into strain changes. These coefficients were calculated for linear (3) and quadratic calibration functions (4) by least squares estimation.

\[
\Delta \lambda = k_0 + k_1 \cdot \Delta \mu \varepsilon \quad (3)
\]

\[
\Delta \lambda = k_0 + k_1 \cdot \Delta \mu \varepsilon + k_2 \cdot (\Delta \mu \varepsilon)^2 \quad (4)
\]

The calculated coefficients are summarized for three sensors (A3, B4 and C2) in Table III.

<table>
<thead>
<tr>
<th>Sensor</th>
<th>linear strain coefficients ((k_1))</th>
<th>quadratic strain coefficients ((k_1, k_2))</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>([pm/\mu m/m])</td>
<td>([pm/\mu m/m])</td>
</tr>
<tr>
<td>A 3</td>
<td>1.194</td>
<td>1.181</td>
</tr>
<tr>
<td>B 4</td>
<td>1.194</td>
<td>1.187</td>
</tr>
<tr>
<td>C 2</td>
<td>1.196</td>
<td>1.190</td>
</tr>
</tbody>
</table>

By re-arranging eq. (4) the strain change can be derived from measured wavelength changes \(\Delta \lambda\) using following equation

\[
\Delta \mu \varepsilon = -\frac{k_1 + \sqrt{k_1^2 + 4 \cdot k_2 \cdot \Delta \lambda}}{2 \cdot k_2} \quad (5)
\]
VI. INSTALLATION IN THE HYDRO-ELECTRIC DAM

The installation of the fiber optic monitoring system was carried out in September 2013. In the upper maintenance corridor 15 block joints were instrumented with fiber optic strain and temperature sensors. The fiber optic sensors were installed above the dial gauge anchors as can be seen in Fig. 10. Special designed anchors were used to connect the sensor with the concrete of the dam. After the concrete anchors were stable, the FBG sensors were mounted and pre-strained. The pre-strain value was based on the laboratory experiments and the current opening of the expansion joint.

Fig. 10. Expansion joint between concrete block 24/25 (C3) with fibre optic sensor and dial gauge anchors

The interrogation unit was positioned in the control center, about 500m away (outside the dam). For a direct comparison between the conventional measurement system and the fiber optic system, the instrumented joints were simultaneous measured with the dial gauge and the fiber optic system. For validation of the stability and further comparisons, the manual dial gauge measurements will also be continued in the future. A continuous 1.5 months fiber optic measurement campaign was started right after installation of the sensors.

VII. MEASUREMENTS

The first continuous measurements were performed from September 2013 to November 2013. Within 48 days of permanent measurements, different sampling rates and the remote control were tested. With the recorded data it was also possible to validate the temperature compensation of the measured strain values. At the start and at the end of the continuous measurement period two dial gauge measurements were carried out. Furthermore, the independent geodetic measurements of the reference sensor were carried out at the same time. For comparison with dial gauge measurements, length changes, instead of strain values, are plotted.

A. Reference sensor

The precision of the monitoring system can be assessed by analyzing the measurement data of the reference sensor which is installed in stable bedrock. Fig. 11 shows 30 minutes of measurements of the temperature and strain FBG taken with a sampling rate of 1Hz. In Fig. 11 the signal, denoted as \( \Delta \lambda \), is the wavelength change of the temperature and strain FBG. The calculated standard deviation is 1.4\( \mu \)m for both FBGs. This corresponds to a standard deviation of the temperature of 0.2K and a standard deviation of the length change of 0.4\( \mu \)m. This high precision is sufficient to detect the small length changes of the concrete joints due to the pump-storage operation.

Fig. 11. 30 Minutes raw FBG temperature (left) and strain (right) signals (reference sensor)

Fig. 12 shows the strain and temperature values of the reference sensor for a measurement window of two days in September 2013. The shown data were down sampled to 15 minutes values by averaging. Within these two days, temperature changes...
of 0.3K were captured, Fig. 12 (left). As in Fig. 12 (right) is shown, even these small temperature changes affected the strain measurements. Without any temperature compensation, the expansion joint measurement value is increased by, approximately 1 μm. Therefore, a separate temperature measurement and an adequate compensation of the temperature induced, apparent strain change is an essential part for a high precision result.

B. CONCRETE JOINTS

In this section, the measured concrete joint deformations are correlated with the impact factors water storage level and air temperature. Fig. 13 shows the water storage level changes and the ambient air temperatures within the measurement period. Within this period (September to November) the water storage level was increasing slightly and was always close to the maximum capacity level of 1809 m above sea level [1]. In the same period the ambient air temperature showed variations of approximately 17 K. Based on the weather conditions, a daily temperature variation of 5 K occurred.

Fig. 14 shows the concrete joint movements of the second measurement chain B and the data of the reference sensor R. The data gaps are the results of remote control problems in this badly covered cell phone section. Manual dial gauge measurements were performed at the installing (2 days before measurement starts) and the end of the fiber optic measurement period. These measurements are centered to the fiber optic results at the end of the time series. Taking into account the low precision of the manual readings, indicated by the bias in Fig. 14, the results of the fiber optic measurements and the manual measurements are consistent.
Evaluation of the data of Fig. 13 (left) and Fig. 14 shows a negative correlation between the water storage level and the expansion joint movement. With increasing water level the distance between the concrete blocks is decreasing. This effect is especially distinct from day 40 to 42 where the water storage level had a local minimum. An increasing water level results in an increasing pressure onto the concrete blocks and the expansion joints are closing. To compare the fiber optic measurements and the water storage level, a significant event was chosen, see evaluation period (EP) in Fig. 15. Within two days, the water level increased by approximately 3 m. As can be seen in Fig. 15 (right) the distance between the concrete blocks decreased. Displayed are four selected sensors. Depending on the sensor location the impact of the water level change is different. The sensor with the highest movements (B4 with 25 μm) is located in the middle of the arch dam. Sensor A3 (with 12 μm) is located near the rock bearing and sensor C3 (with 17 μm) in the vicinity of the artificial bearing. Sensor A2 shows the smallest reaction to the water level change with 5 μm. With the system precision of 0.4 μm these small movements can reliably be detected.

To investigate the relationship between the sensor position and movements the relative movement range with respect to an
initial value and within the measurement period, is plotted in Fig. 16 (right). The range of the measured movement differs between \(8 \mu m\) (sensor A2) and \(53 \mu m\) (sensor C2). Interestingly, the sensors A2 and A4 experience small movements. This was already observed in the long term manual measurements carried out since 1994. The reasons for the special behavior at these locations is currently investigated in detail.

In general the measured block joint movements are a superposition of different effects. One of the main influences is, as described before, the water storage level. Another effect is thermal deformation induced by changing ambient air temperatures. The impact of temperature changes is reflected in daily variations with amplitudes of block joint movements of approximately \(4 \mu m\) to \(6 \mu m\), see Fig 17. A detailed investigation of the temperature influenced movements and their time delay with respect to the ambient air temperature are part of future studies, which will be performed when data over a longer period are available.

To interpret the induced effects of ambient air temperature and water storage level, the spectra of the original 1Hz data were calculated. Fig. 18 shows a part of this spectrum. The frequencies were converted to periods of days (from 0.5 day to 8 days). As expected a 24h cycles caused by daily temperature variations is apparent in all sensors, see Fig. 18 (upper right and bottom). The spectrum of the water storage level does not show a peak at the 24h cycle, Fig. 18 (upper left). Another major cycle has a duration time between 5 and 6 days and is apparent in the water storage level and also in the measured joint movements.
Fig. 18. Spectral investigation of fibre optic data

Such investigations now at the very early beginning- are only possible using the data of the new system with its high data rate compared to the traditional measurement system.

VIII. CONCLUSIONS

In this paper a new method for continuous concrete joint monitoring was introduced. The biggest advantages with respect to conventional electric sensor systems are the lower installation costs and the better measurement precision. However, to achieve accuracies in the range of a few \( \mu \text{m} \), a comprehensive calibration and appropriate temperature correction are required.

The developed monitoring system was successfully installed in a concrete arch dam. Data acquired in the first two months of operation proof that small water level changes caused by the pump-storage operation can be depicted reliably. A water level change of 1m results in length changes of the expansion joints of up to 1.7\( \mu \text{m} \) at the rock bearing and up to 8\( \mu \text{m} \) in the middle of the arch dam. Temperature induced movements can also be measured due to the high precision of 0.4\( \mu \text{m} \) of the monitoring system. Daily temperature variations result in cyclic expansion joints movements with amplitudes up to 6\( \mu \text{m} \).

The developed monitoring system can be the basis for modern structural monitoring of concrete dams with pump-storage operation. The high measurement resolution gives new insight into the structural behavior of dams and can potentially improve the design of new dams.

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